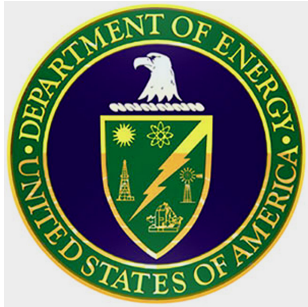


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# Unified Parameterizations of Boundary Layer Turbulence and Convection in Global Atmospheric Models

João Teixeira<sup>(1, 2)</sup>, Maria Chinita<sup>(2)</sup>, Kay Suselj<sup>(1)</sup>, Adam  
Herrington<sup>(3)</sup>, Marcin Kurowski<sup>(1)</sup> and Xianan Jiang<sup>(2)</sup>

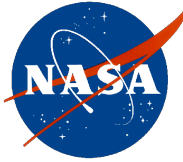


(1) Jet Propulsion Laboratory  
California Institute of Technology  
Pasadena, California



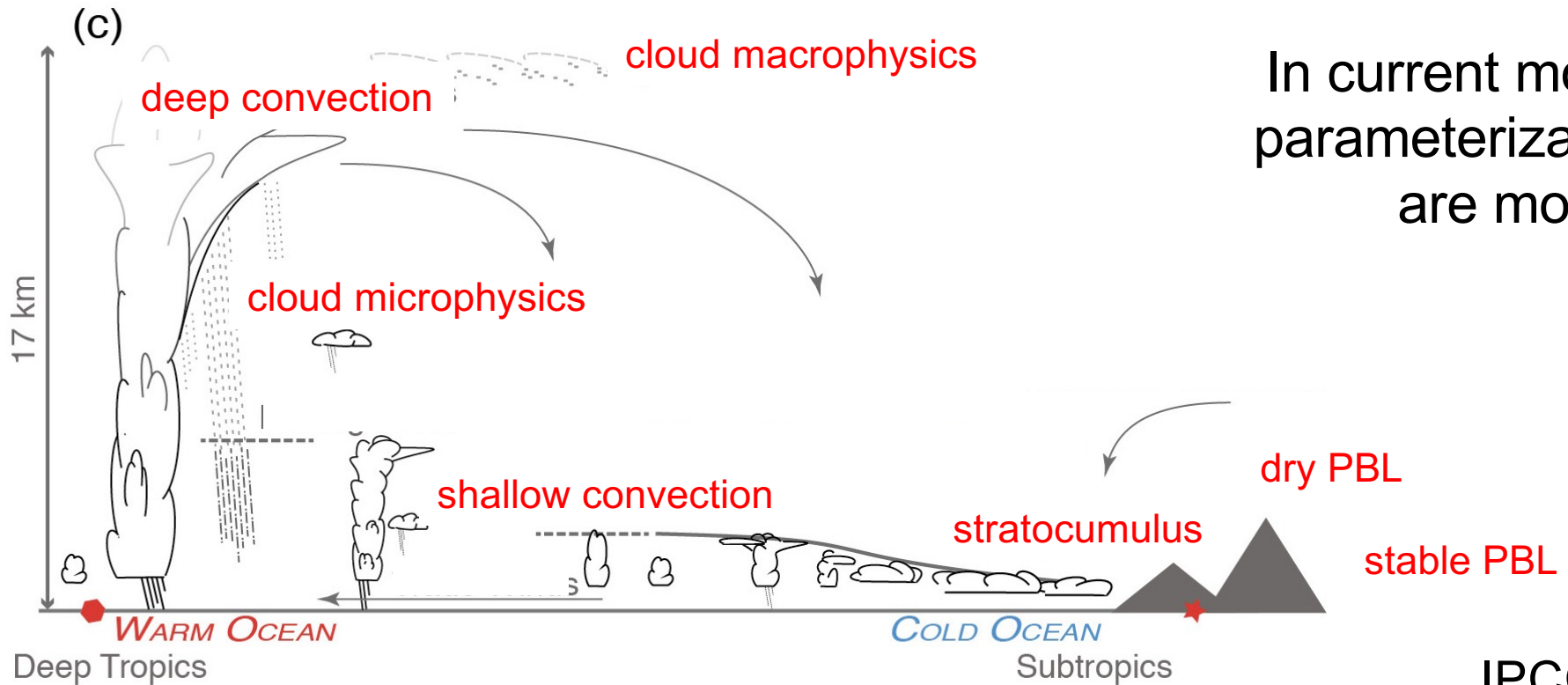
(2) Joint Institute for Regional Earth System Science and Engineering  
University of California Los Angeles

(3) National Center for Atmospheric Research  
Boulder, Colorado



# Turbulence, Convection and Cloud Parameterizations

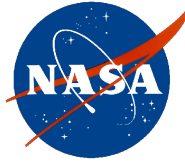
The atmosphere is a turbulent fluid where mixed-phase physics and radiative transfer play an essential role



In current models  
parameterizations  
are modular

IPCC AR5

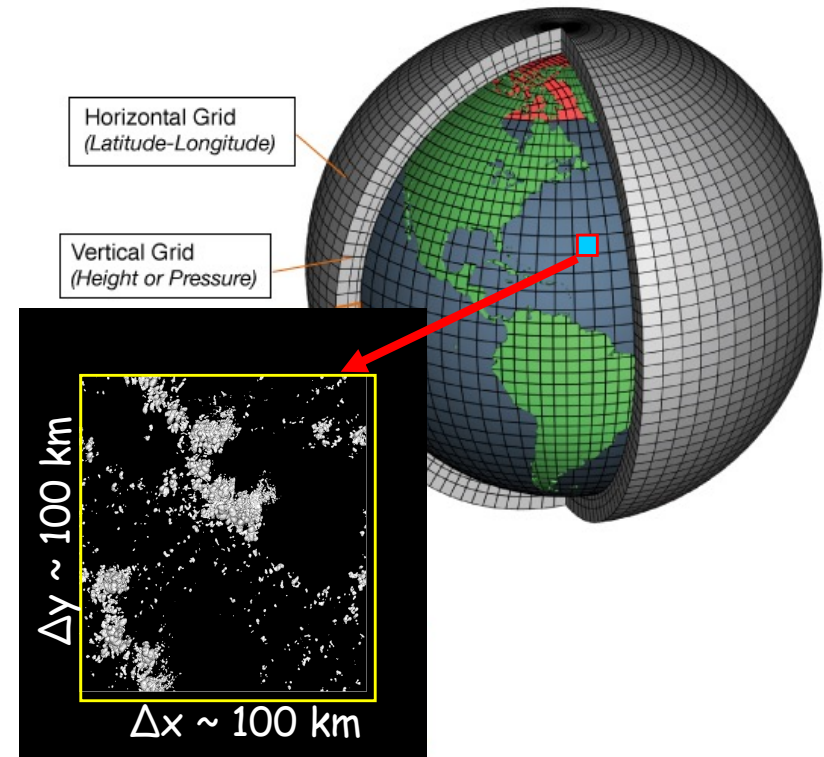
Goal: Unified parameterization for turbulence, clouds and convection



# Global Atmospheric Models

## 3D Atmospheric Models:

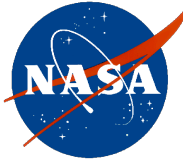
3D Large-scale dynamics +  
One-dimensional physics



Reynolds decomposition and averaging:

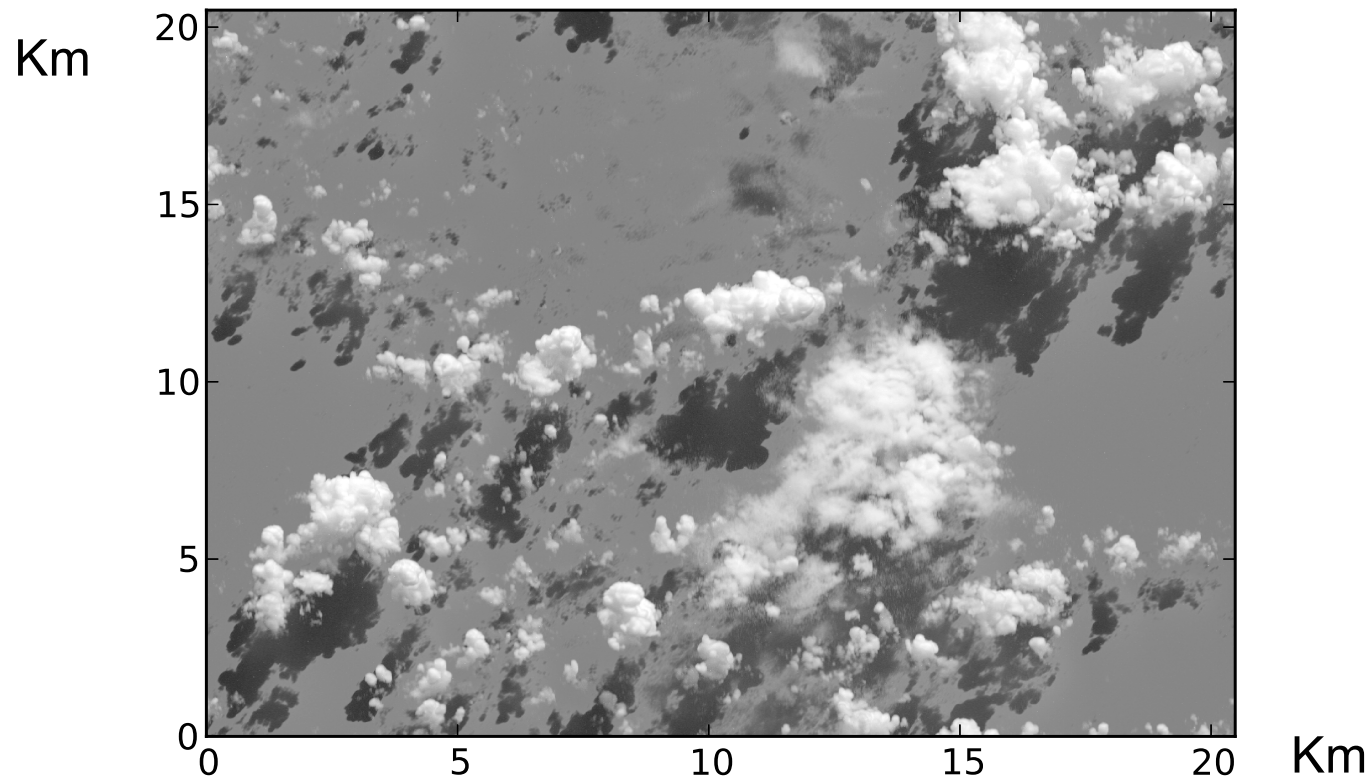
$$\varphi = \overline{\varphi} + \varphi' \quad \rightarrow \quad \frac{\partial \overline{\varphi}}{\partial t} + \frac{\partial}{\partial x} (\overline{u\varphi}) + \frac{\partial}{\partial y} (\overline{v\varphi}) + \frac{\partial}{\partial z} (\overline{w\varphi}) = - \frac{\partial}{\partial z} (\overline{w'\varphi'}) + \overline{S},$$

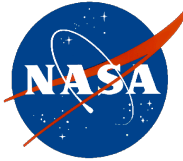
Key turbulence and convection parameterization problem



# Large-Eddy Simulation (LES) models

- LES models solve filtered version of Navier-Stokes equations
- High-resolutions ( $\sim 10$  m) in all 3 dimensions
- LES models resolve most of the essential turbulence/convection
- Closures still needed for scales  $< 10$ m (but simpler than GCMs)





# Merging ED and MF: Eddy-Diffusivity/Mass-Flux (EDMF)

Dividing a grid square in two regions (updraft and environment) and using Reynolds decomposition and averaging leads to

$$\overline{w'\phi'} = a_u \overline{w'\phi'_u} + (1 - a_u) \overline{w'\phi'_e} + a_u(1 - a_u)(w_u - w_e)(\phi_u - \phi_e)$$

where  $a_u$  is the updraft area. Assuming  $a_u \ll 1$  and  $w_e \sim 0$  leads to

$$\overline{w'\phi'} = \overline{w'\phi'_e} + a_u w_u (\phi_u - \bar{\phi})$$

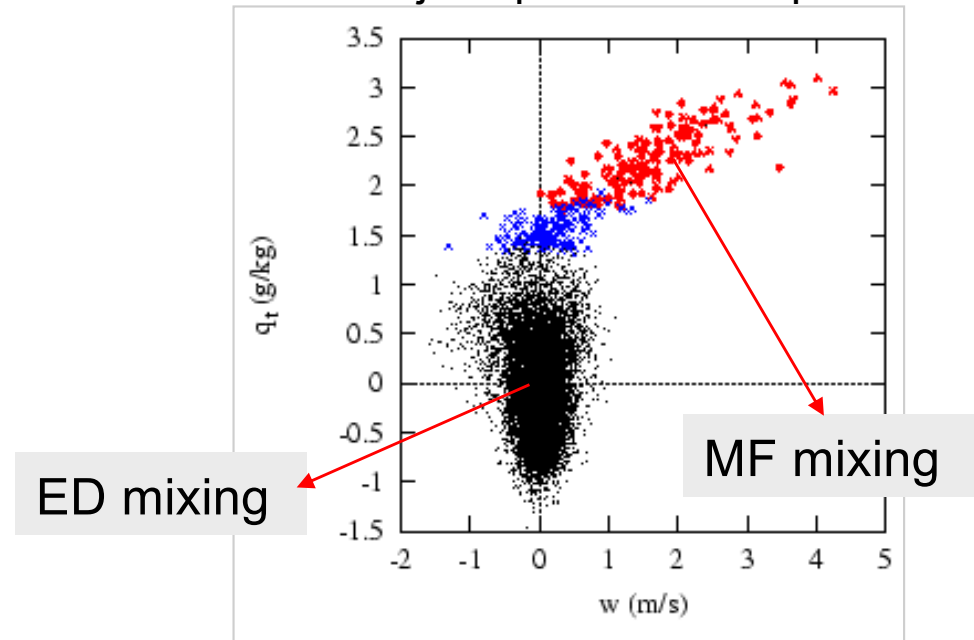
ED closure: assuming ED for 1<sup>st</sup> term and neglecting 2<sup>nd</sup> term

MF closure: neglecting 1<sup>st</sup> term and assuming  $M = a_u w_u$

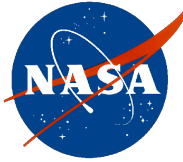
EDMF: 
$$\overline{w'\phi'} = -k \frac{\partial \bar{\phi}}{\partial z} + M(\phi_u - \bar{\phi})$$

Siebesma & Teixeira, 2000

Bimodal joint pdf of  $w$  and  $q_t$



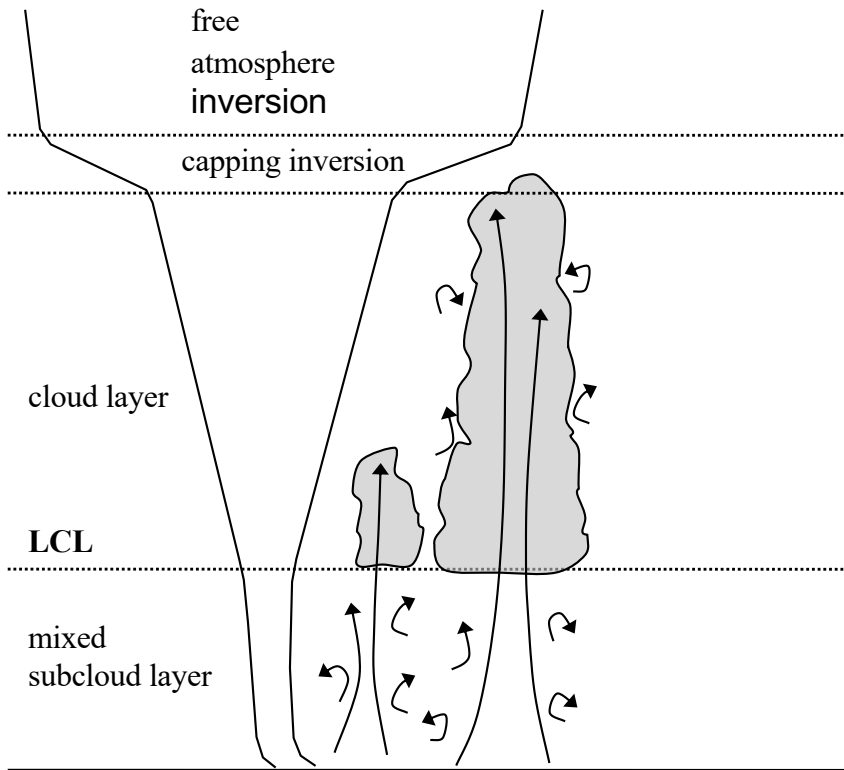
Unified parameterization of different turbulence and convection scales



# Mass-Flux Model for Plumes/Updrafts

Mass-Flux (MF) approximation leads to  
(in simplified form):

$$\overline{w'\theta'} = M(\theta_u - \bar{\theta})$$



- 1) Integrating over plume area
- 2) Assuming steady-state
- 3) Neglecting some sources/sinks

$$\frac{\partial \phi_u}{\partial z} = -\varepsilon(\phi_u - \bar{\phi}) \text{ for } \phi \in \{\theta_1, q_t\}$$

$$M = \sigma_u w_u$$

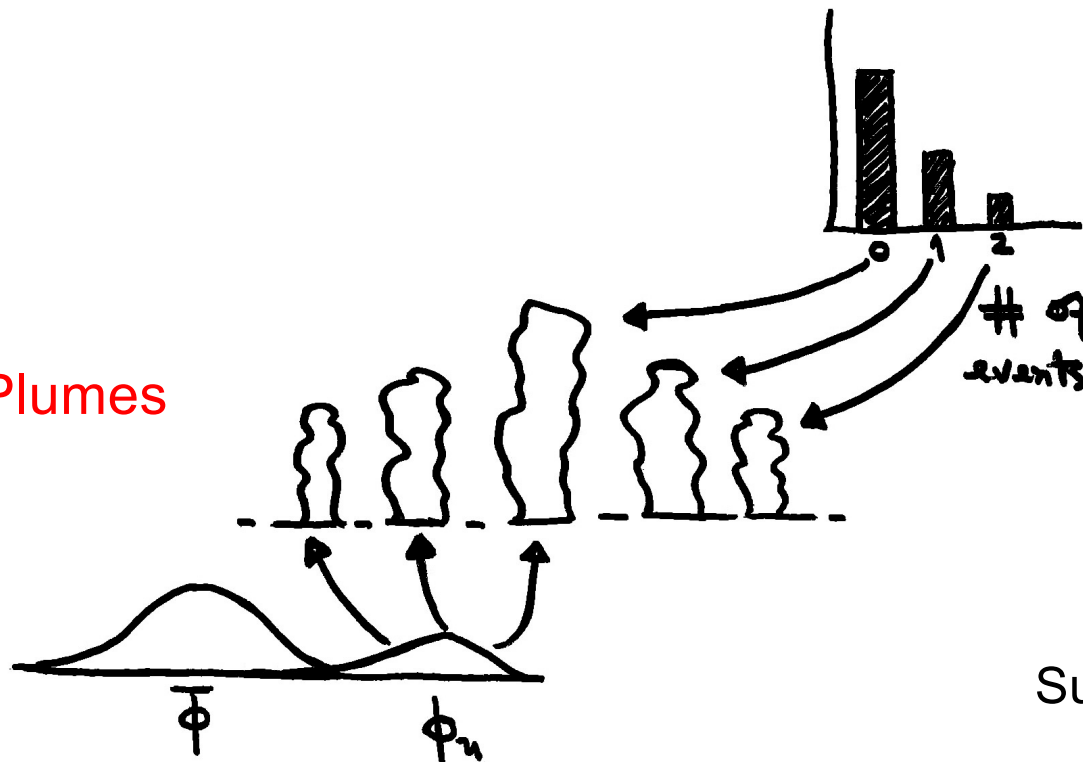
$$\frac{1}{2} \frac{\partial w_u^2}{\partial z} = -b\varepsilon w_u^2 + a \frac{g}{\theta_0} (\theta_{v,u} - \bar{\theta}_v)$$

$\sigma_u$  is updraft/plume area fraction



# Moist convection: EDMF multiple plumes and stochastic entrainment

Multiple Plumes



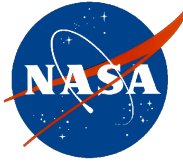
3) Stochastic lateral entrainment

Partly inspired by  
Romps & Kuang,  
JAS, 2010

Suselj et al., JAS, 2013, 2019

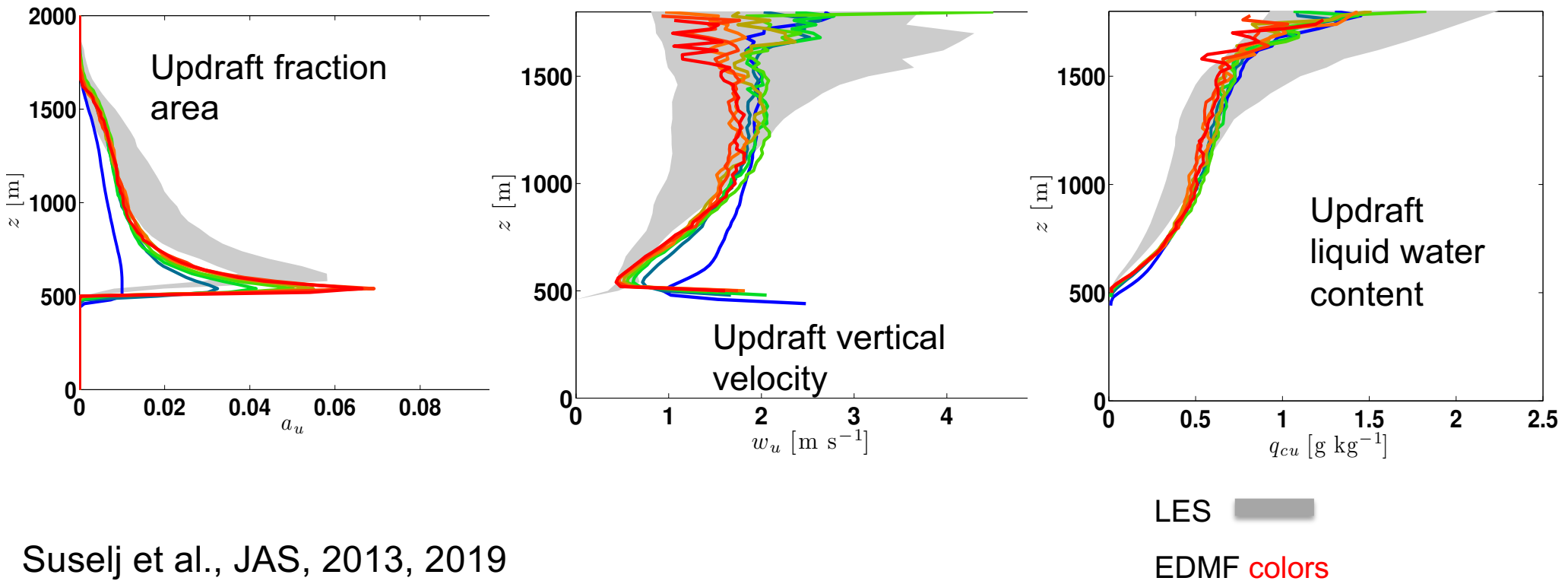
- 1) Parameterization of surface layer PDF of thermodynamics
- 2) Monte Carlo sampling of PDF to produce multiple plumes

- Different types of convection coexist in the same model grid-box
- Total updraft area is just the sum of individual updraft areas



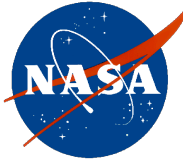
# EDMF Multiple plumes: Shallow Convection

## BOMEX Shallow Convection case: EDMF versus LES results



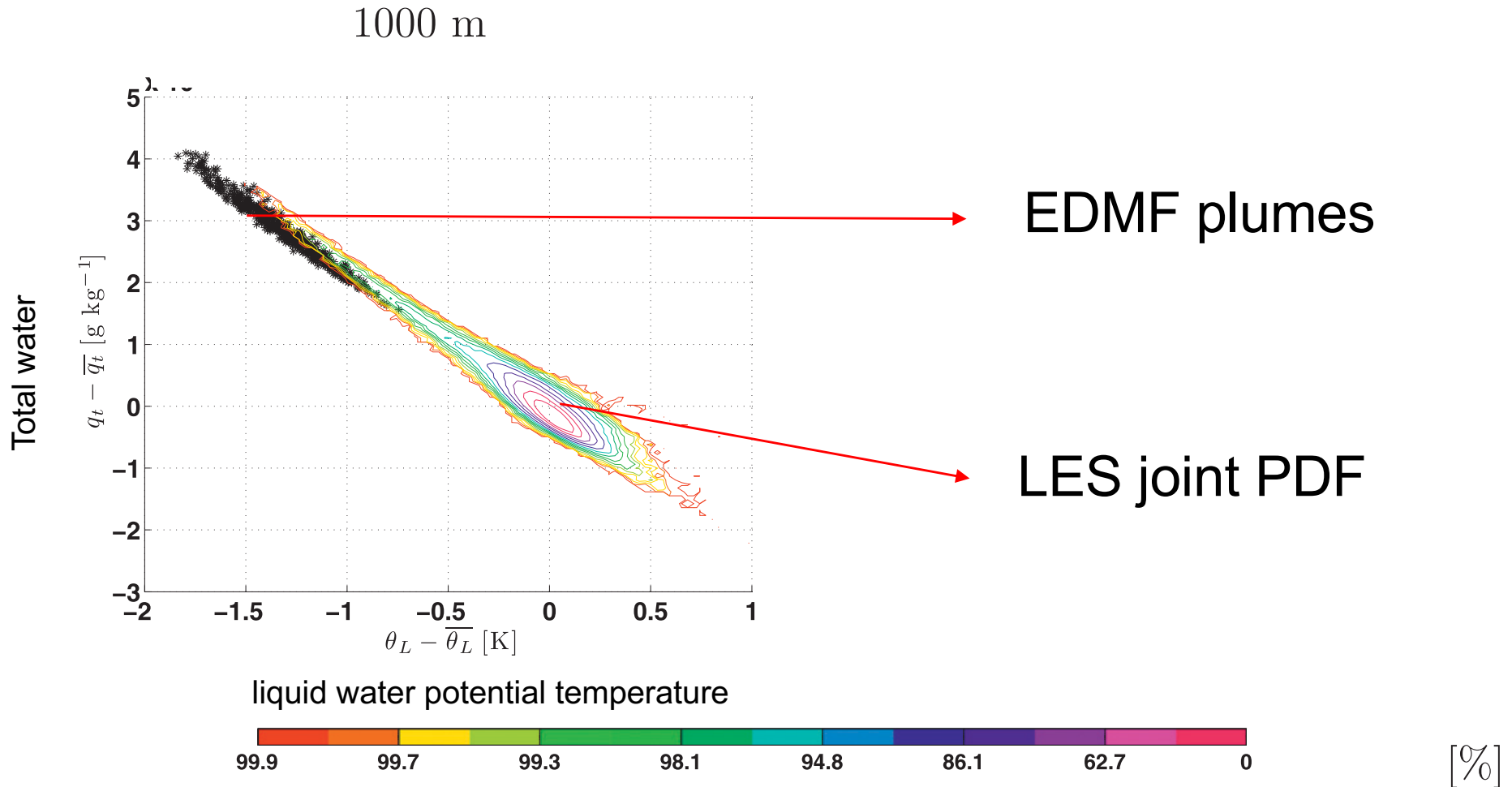
Suselj et al., JAS, 2013, 2019

EDMF produces realistic thermodynamics and updraft properties

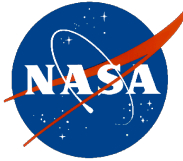


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# BOMEX shallow convection: LES PDF vs EDMF plumes



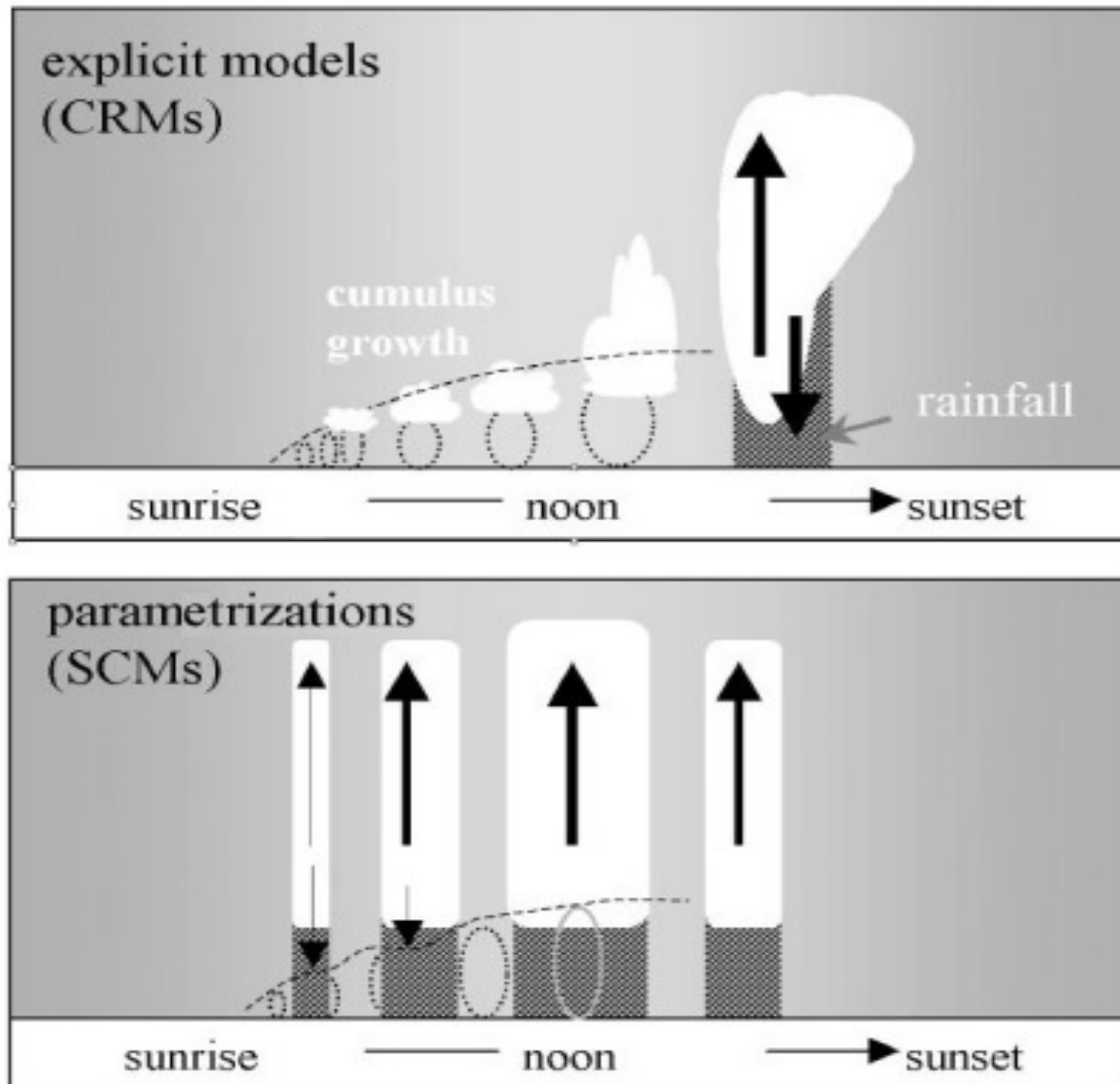
EDMF multiple plumes represent skewed part of PDF of thermodynamic properties leading to more efficient vertical mixing



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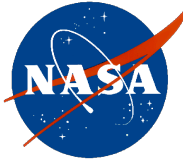
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# Diurnal Cycle of Convection over Land: Transition from Shallow to Deep Convection



Guichard et al.,  
QJRMS, 2004

Climate and weather models are unrealistic

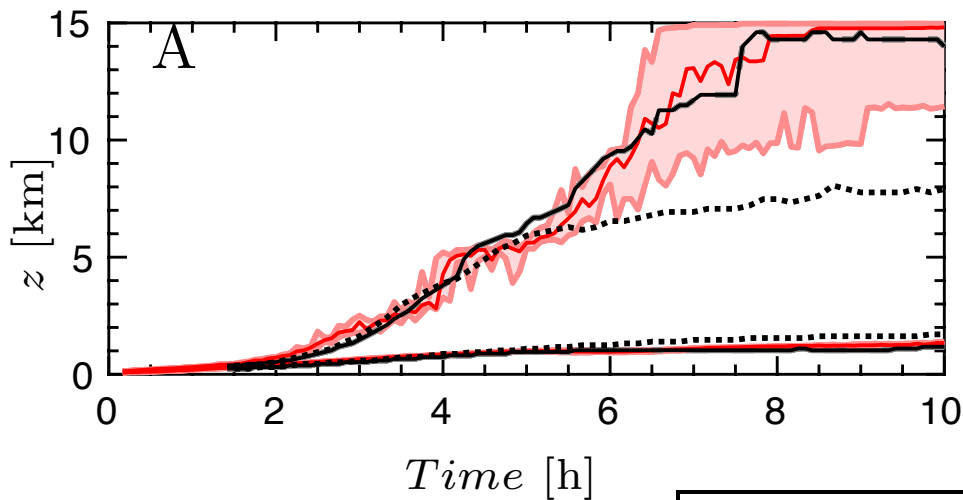


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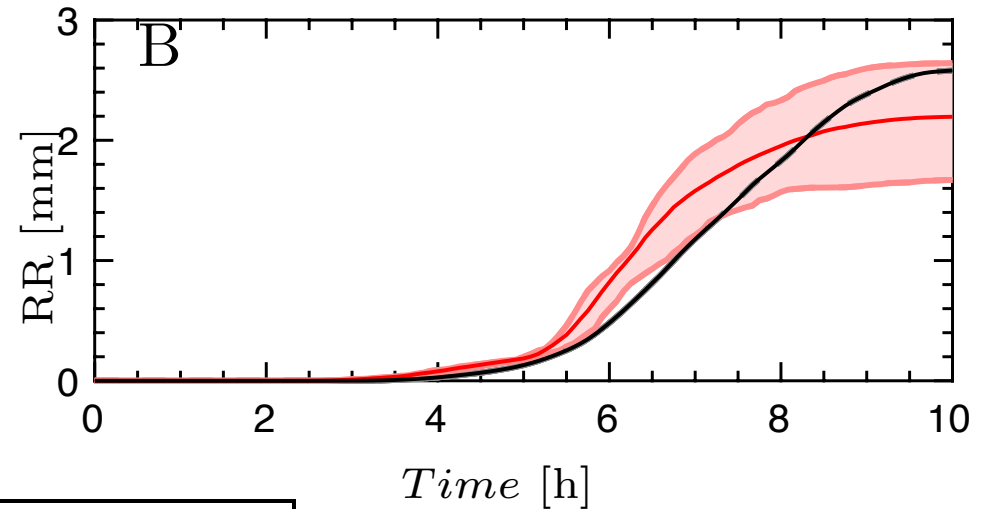
# Diurnal cycle of precipitating tropical convection over land: LBA case

Multi-plumes with simplified parameterizations of microphysics, downdrafts and cold pool effects

Cloud base and top



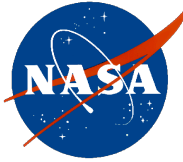
Cumulative surface precipitation



Suselj et al., JAS,  
2019b

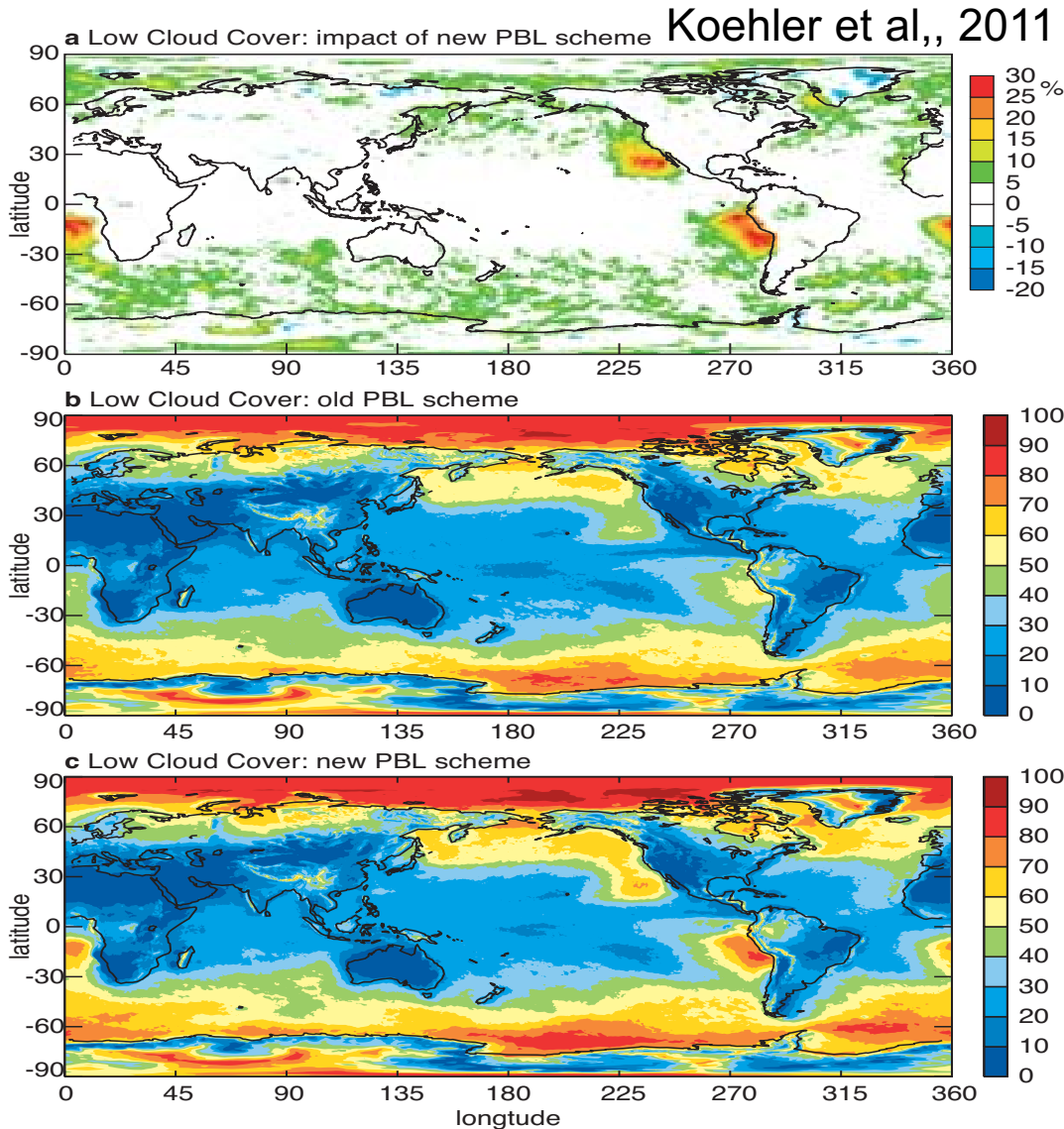
Realistic transition from PBL to shallow to deep convection

A single scheme able to represent all these types of convection



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# Global atmospheric models and unified parameterization approaches

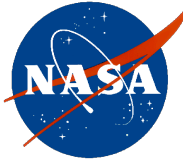


EDMF has been implemented operationally in a variety of global atmospheric models: ECMWF, NCEP, US Navy

Over the last 20 years different groups have been developing unified parameterizations, including:

- Lappen & Randall (2001)
- **Golaz et al. (2002)**
- **Bogenschutz & Krueger (2013)**

Significant PBL cloud improvements in ECMWF model with EDMF



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# Merging Higher-Order Closure with Multi-plume Mass-Flux: CLUBB + MF

- **CLUBB represents double-gaussian mixing while MF plumes represent additional discrete skewness of the sub-grid PDF**
- Multi-plume MF: 1) Sampling from surface layer thermodynamic PDFs; 2) Stochastic lateral entrainment based on TKE
- MF plumes are coupled to CLUBB via 5-diagonal prognostic solver for mean fields and turbulent fluxes (solved simultaneously):

$$\begin{aligned} & \frac{\bar{\varphi}^{t+\Delta t}}{\Delta t} + \frac{1}{\rho_s} \frac{\partial}{\partial z} \overline{\rho_s w' \varphi'_{CLUBB}}^{t+\Delta t} \\ &= \frac{\bar{\varphi}^t}{\Delta t} - \frac{1}{\rho_s} \frac{\partial}{\partial z} \left( \rho_s \sum a_i w_i \varphi'_i \right)_{MF}^t + \left. \frac{\partial \bar{\varphi}}{\partial t} \right|_{forcing} \end{aligned}$$

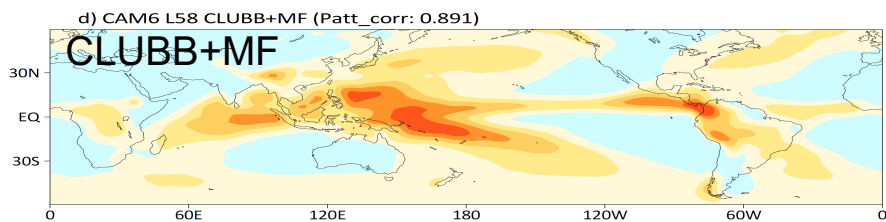
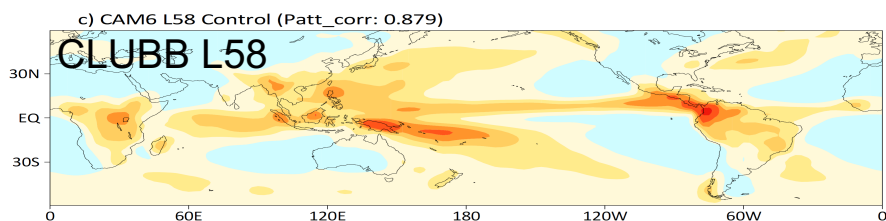
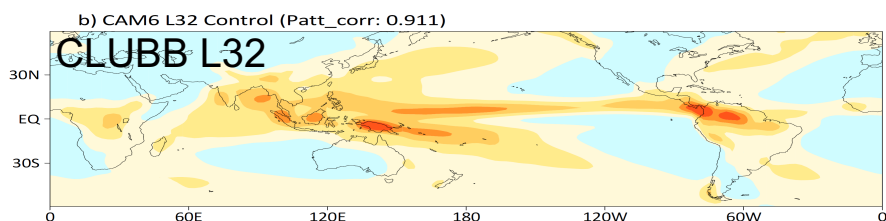
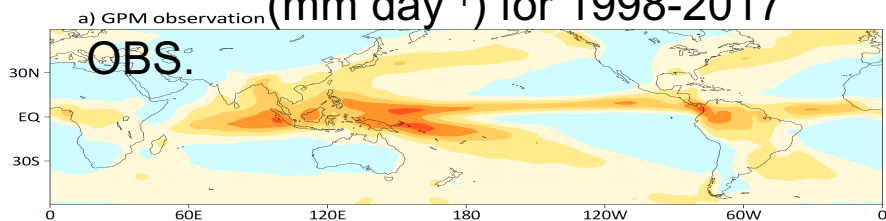


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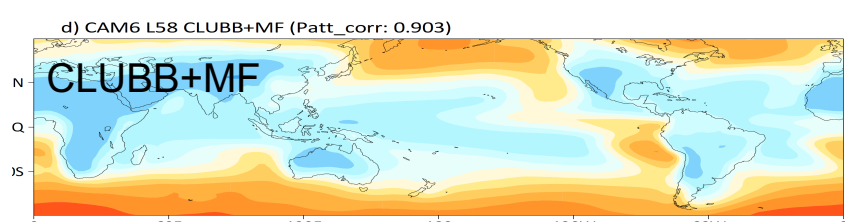
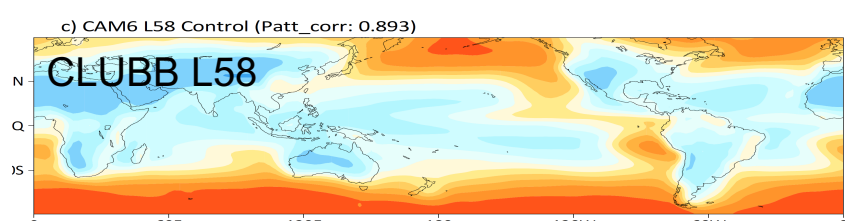
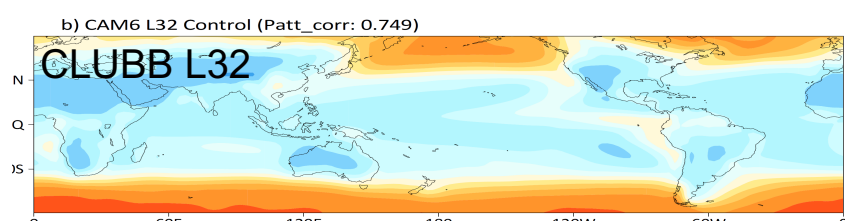
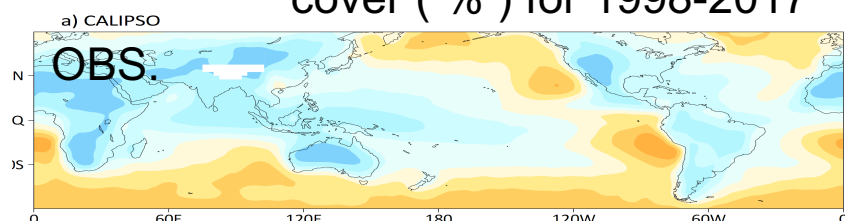
# Merging higher-order closure with MF multiple plumes: PBL+ Shallow+ Deep Convection

## CLUBB+MF simulations without deep convection parameterization: Realistic climatology of clouds, precipitation, TOA radiation

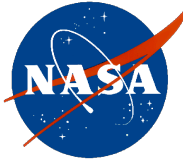
Annual mean precipitation  
(mm day<sup>-1</sup>) for 1998-2017



Annual mean low-cloud cover (%) for 1998-2017



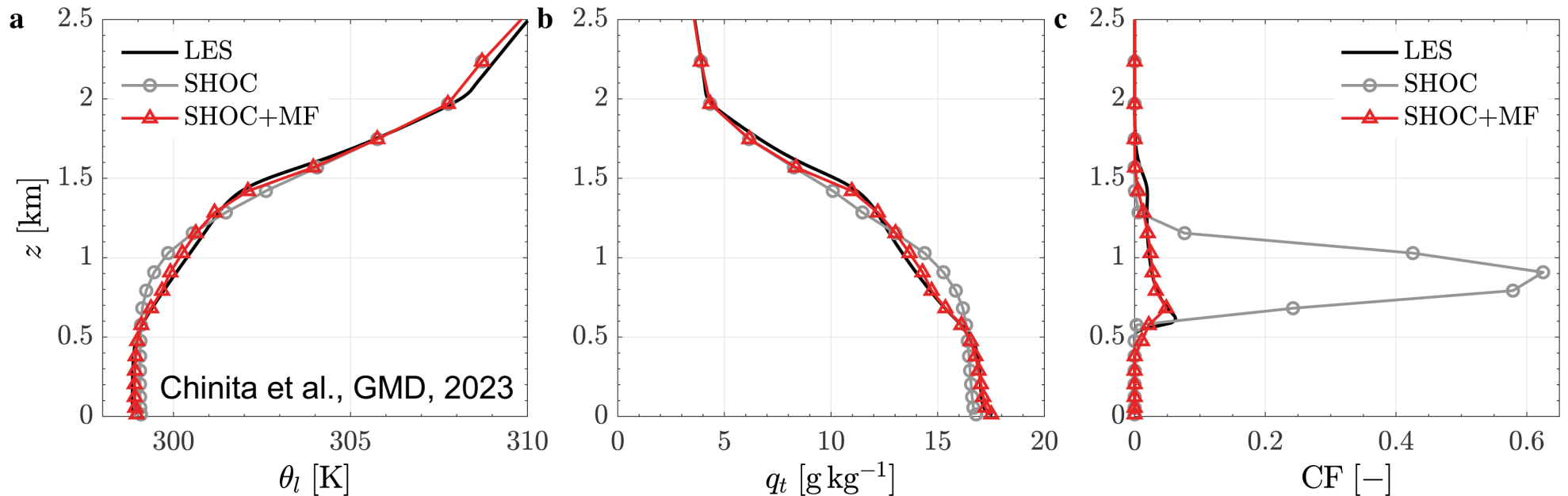
First fully unified turbulence/convection parameterization in 3D global model



# SHOC+MF in the SCREAM Model

Unifying turbulence and convection by merging the Simplified Higher-Order Closure (SHOC) with multi-plume MF (SHOC+MF)

BOMEX shallow convection case (hour 4-6)



SHOC+MF produces realistic thermodynamic structure of the atmosphere in shallow moist convection regimes

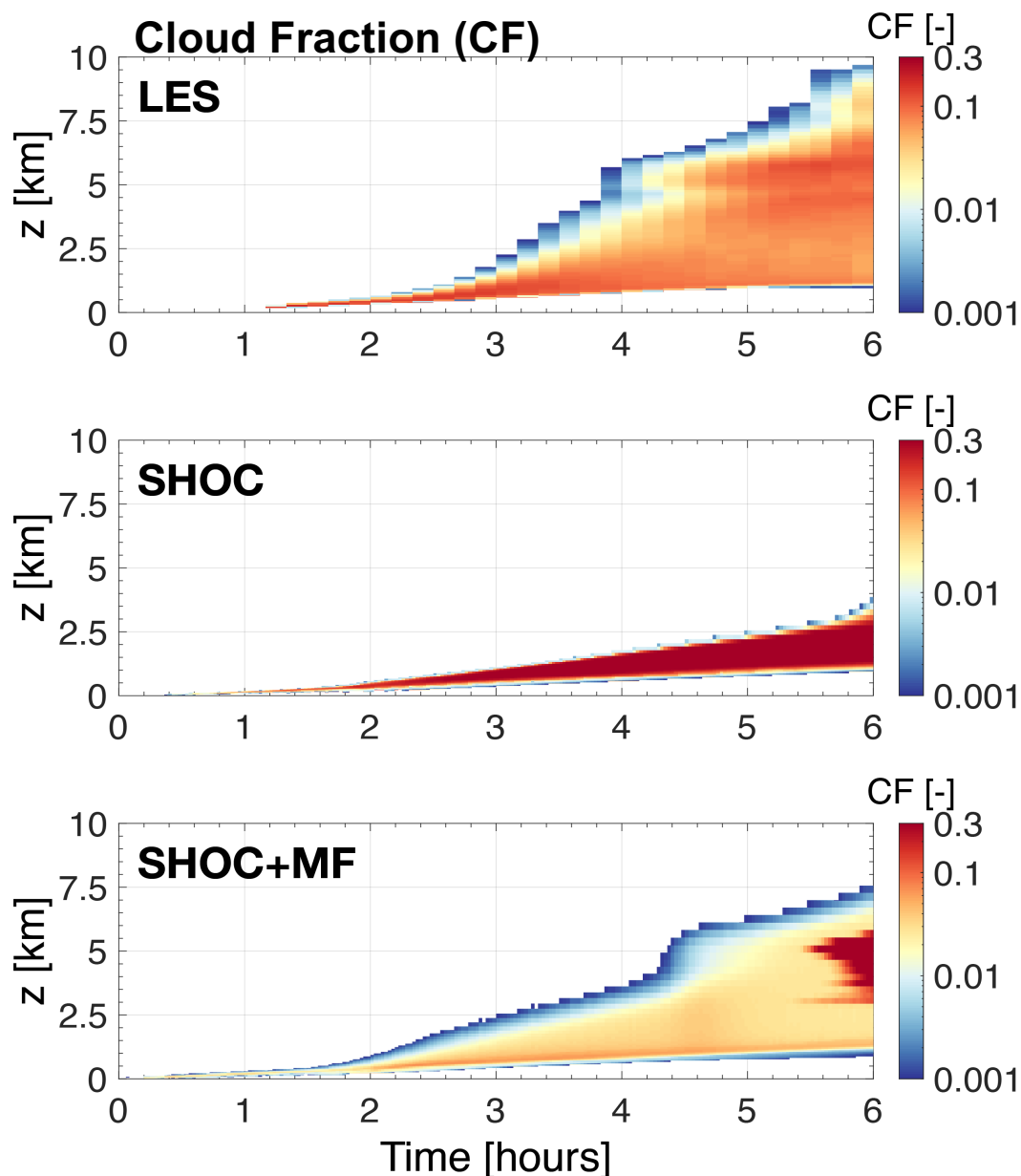
# Diurnal cycle of tropical convection over land: SHOC+MF

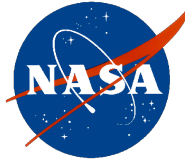
Merging SHOC and multi-plume MF in DP-SCREAM: SHOC+MF

SHOC+MF produces realistic shallow convection (Chinita et al., 2023)

SHOC+MF realistic transition from PBL to moist convection

A single scheme able to represent different types of convection





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# Summary

- **EDMF** is a unified parameterization that blends ED approach (small-scale turbulence) with MF approach (larger eddies)
- **EDMF** represents well turbulence and convection in the global atmosphere and was implemented in global NWP models
- Fully unified parameterization of turbulence and convection (from PBL to deep convection) implemented for the first time in 3D global model: **CLUBB+MF** in CAM
- **SHOC+MF** is being tested in DOE DP-SCREAM and showing positive results for shallow and transition to deep convection